## BEST AVAILABLE COPY HIGHLY PROCESSABLE AROMATIC POLYAMIDE FIBERS, THEIR PRODUCTION AND USE

Patent number:

WO9215747

Publication date:

1992-09-17

Inventor:

REBOUILLAT SERGE (US)

**Applicant:** 

DU PONT (US)

Classification:

- international:

D06M13/17; D06M13/224; D06M13/372

- european:

C10M1/08T; D06M13/17; D06M13/224; D06M13/372

Application number: WO1992US01891 19920302

Priority number(s): CN19920103391 19920403; DE19914106682 19910302

Also published as:

EP0574507 (A1)

DE4106682 (A1)

BR9205704 (A)

EP0574507 (B1)

Cited documents:

DE1815361

US4019990

US3177143 US3368917

US3682694

Report a data error here

Abstract not available for WO9215747

Abstract of corresponding document: DE4106682

The invention relates to aramid fibers having a coating of an esteroil lubricant, an emulsifying system consisting of ethoxylated derivatives of unsaturated fatty acids and/or unsaturated fatty alcohols and/or ethoxy-propoxylated alkylamines and an antistatic agent; and to a process for making them.

Data supplied from the esp@cenet database - Worldwide

#### PCT

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT

INTERNATIONAL APPLICATION PUBLIS	HED	NDER THE PATENT COOPERATION TREATY (PCT)
51) International Patent Classification 5: D06M 13/224, 13/17, 13/372	A1	11) International Publication Number: WO 92/15747 43) International Publication Date: 17 September 1992 (17.09.92)
21) International Application Number: PCT/US?  22) International Filing Date: 2 March 1992 (  30) Priority data: P 41 06 682.0 2 March 1991 (02.03.91)  71) Applicant: E.I. DU PONT DE NEMOURS AND PANY [US/US]; 1007 Market Street, Wilming 19898 (US).  72) Inventor: REBOUILLAT, Serge; 14703 Duck Combidothian, VA 23112 (US).  74) Agent: SHAFER, Robert, J.; E.I. du Pont de Nem Company, Legal/Patent Records Center, 1007 Street, Wilmington, DE 19898 (US).	(02.03.9 ID CO gton, I ove Pla	(81) Designated States: AT (European patent), AU, BE (European patent), BR, CA, CH (European patent), DE (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, KR, LU (European patent), MC (European patent), NL (European patent), SE (European patent).  Published  With international search report.  Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: HIGHLY PROCESSABLE AROMATIC POLYAMIDE FIBERS, THEIR PRODUCTION AND USE

#### (57) Abstract

The invention relates to aramid fibers having a coating of an esteroil lubricant, an emulsifying system consisting of ethoxy-lated derivatives of unsaturated fatty acids and/or unsaturated fatty alcohols and/or ethoxy-propoxylated alkylamines and an antistatic agent; and to a process for making them.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT AU BB BE BF BG BJ CA CF CG CH CI CM CS DE DK ES	Australia Australia Barbados Belgium Burkina Faso Bulgaria Benin Brazil Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon Czechoslovakia Giermany Denmark Spain	FI FR GA GB GN GR HU IE IT JP KP LI LK LU MC MG	Finland France Gabon United Kingdom Guinea Greece Hungary treland Italy Japan Democratic People's Republic of Korea Republic of Korea Licehtenstein Sri Lanka Lanembourg Monaco Madagascar	MI. MN MW NI. NO PL RU SD SE SN SU TD TG US	Maii Mongolia Mauritania Malawi Netherlands Norway Poland Romania Russian Federation Sudan Swetten Senegal Soviet Union Chad Togo United States of America
--	--	--	--	--	--

# TITLE HIGHLY PROCESSABLE AROMATIC POLYAMIDE FIBERS, THEIR PRODUCTION AND USE

5

10

15

20

25

30

35

#### Background of the Invention

#### Field of the Invention

The present invention relates to highly processable aromatic polyamide fibers, their production and use.

#### Description of the Prior Art

Research Disclosure, July 1980, No. 195, disclosure 19520, discloses finishes useful for treating industrial fibers, such as polyamide and aramid fibers, which finishes include a lubricant, comprising esters composed of an aliphatic, saturated carboxylic acid and a polyhydric or aliphatic unbranched alcohol. These finishes also contain an emulsifier or emulsifying system, an anti-oxidant to increase the stability of the composition, polysiloxanes as a further thermostable lubricant, and a sulfonated natural oil as an antistatic agent. Furthermore, these finishes may contain biostats, further emulsifiers, and lubricants.

However, the finishes according to the above reference are not suitable for the purposes of the present invention in terms of surface frictional properties, scourability, the protection from depositing due to abrasion, fibrillation and antistatic properties of the resulting treated fibers.

High strength, high modulus fibers, have been proposed to reinforce elastomeric and plastic materials.

#### Summary of the Invention

Most commercial fibers have a high rigidity, poor surface functional characteristics leading to fibrillation, mainly caused by excessive friction among filaments, and poor surface affinity to most traditional elastomeric, thermoplastic and thermoset matrices which they reinforce.

These drawbacks and defects, which result in a degradation of physical properties such as strength and modulus, have been driving a high demand

10

15

20

for highly processable fibers which have to be easy to process through knitting or weaving operations and do not lead to machine deposits.

According to this invention, the application of a particular combination of surface treatment agents on the surface of previously dried aramid fibers using a finishing process already known; or the application of those agents on never-drawn never-dried aramid fibers yields a new surface treated fiber which exhibits excellent processability characteristics in its use as a reinforcing element for rubber applications or as a yarn for fabric woven structures. The end use performance of final products, using the fibers, is consequently significantly improved.

The present invention accordingly relates to highly processable aramid fibers of high modulus, improved surface frictional properties, improved scourability, low abrasion polymer depositing, low fibrillation and improved longterm antistatic properties, having a coating of a lubricant, an emulsifying system, an antistatic agent, and other components, derived from a surface treatment agent which consists of

(a) 30 to 70% by weight of an esteroil lubricant, consisting of an ester, composed of
 (I) an alcohol component which is a branched, primary or secondary,
 saturated monohydric alcohol of the general formula

 $m R^1 \qquad R^2$  | | CH3-(CH2) $_h$ -(CH) $_k$ -(CH2) $_i$ -CH-(CH2) $_m$ -OH

wherein

R<sup>1</sup> represents C<sub>1</sub>-C<sub>16</sub>-alkyl,

R<sup>2</sup> represents H, C<sub>1</sub>-C<sub>16</sub>-alkyl,, if k=1 and

R<sup>2</sup> represents C<sub>1</sub>-C<sub>16</sub>-alkyl, if k=0,

h = 0 to 5

k = 0 or 1

j = 0 to 4

m = 0 to 16

and wherein the total number of carbon atoms is below 25, and

(II) a carboxylic acid component which is an

10

20

25

unsaturated fatty acid of the general formula R<sup>3</sup>-(COOH)<sub>s</sub>; s = 1-6
wherein
R<sup>3</sup> represents C<sub>4</sub>-C<sub>19</sub>-alkenyl, C<sub>4</sub>-C<sub>19</sub>-alkadienyl, C<sub>4</sub>-C<sub>19</sub>-alkatrienyl, phenyl, naphthyl,
2-phenylethenyl, or which is an unsaturated dicarboxylic acid of the general formula
HOOC-(CH=CH)<sub>n</sub>-COOH
wherein n = 1 or 2,
and said ester has a solidification point of below +5°C, preferably below 0°C, a kinematic viscosity of 100 to 350 mm<sup>2</sup>/s (at 20°C) and an iodine value between 30 and 140, preferably

15 (b) 20 to 50% by weight of an emulsifying system which consists of unsaturated ethoxylated fatty acids and/or unsaturated ethoxylated fatty alcohols and/or ethoxylated alkylamines of the general formula

 $R^4$ -X- $(EO)_p(PO)_q$ -OH wherein

between 30 and 80,

R<sup>4</sup> represents C<sub>5</sub>-C<sub>20</sub>-alkenyl, phenyl, naphthyl, or C<sub>8</sub>- or C<sub>9</sub>-alkylphenyl,

X represents -COO-, -NH- or -O-,

EO represents an ethylene oxide unit,

PO represents a propylene oxide unit,

p = 2 to 15 and

q = 0 to 10,

- (c) 5 to 15% by weight of an antistatic agent, consisting of alkali salts of  $C_4$ - $C_{12}$ -alkyl sulfonates,  $C_4$ - $C_{12}$ -alkyl phosphates or  $C_4$ - $C_{20}$ -alkyl carboxylic acids,
- (d) 0.2 to 2% by weight of a corrosion-inhibitor, and
- 30 (e) optionally additives,

and whereby the amount of said coating on said fibers is 0.05 to 2.0% by weight, preferably 0.2 to 1.0% by weight, of the fiber.

The coating preferably consists of 50 to 60% by weight, most preferably 55 to 60% by weight of the esteroil (a),

10

15

20

25

30

25 to 40% by weight, most preferably 29 to 35% by weight of the emulsifying system (b), 5 to 10% by weight, most preferably 5 to 7% by weight of the antistatic agent (c), 0.3 to 1% by weight, most preferably 0.3 to 0.5% by weight of the corrosion inhibitor (d) and, if desired, optionally additives (e).

The aramid fibers of this invention are further characterized by a specific breaking strength of 2.65 to 33.5 cN/dtex (3 to 38 g/den), a specific modulus of 8.83 to 2207 cN/dtex (10 to 2500 g/den), a fiber to metal dynamic friction coefficient on a 1100 dtex aramid yarn of lower than 0.55, preferably below 0.50 at 200 m/min, a fiber to metal boundary friction coefficient on a 1100 dtex aramid yarn of lower than 0.10, preferably below 0.05 at 0.016 cm/s, an amount of deposit due to abrasion of lower than 0.5 mg/kg of yarn, a residual finish level of lower than 15% by weight of the initial finish level after washing.

The fiber of this invention provides an improved blend of properties in terms of fume emission measured by weight losses, washability of the fiber and cohesiveness of the fiber compared with fibers using other finishes.

Within the scope of this invention, by "fibers" are meant continuous filaments as well as a single yarn or cord, staple fibers, fiber tows (for example from stretch breaking processes), yarns or flat textile skeins, staple crimped fibers, pulps, industrial woven, twisted, knitted, braided, spiralled or wrapped textiles from aramids with a fiber type structure.

Aramids are such polymers that are partially, preponderantly or exclusively composed of aromatic rings, which are connected through carbamide bridges or optionally, in addition also through other bridging structures. The structure of such aromatic polyamides can be elucidated by the following general formula of repeating units:

### (-CO-NH-A<sub>1</sub>-NH-CO-A<sub>2</sub>-CO-)<sub>n</sub>

wherein A<sub>1</sub> and A<sub>2</sub> are the same or different and signify aromatic and/or polyaromatic and/or heteroaromatic rings, that can also be substituted. Typically A<sub>1</sub> and A<sub>2</sub> may, independently from each other, be selected from 1,4-phenylene, 1,3-phenylene, 1,2-phenylene, 4,4'-biphenylene, 2,6-naphthylene, 1,5-naphthylene, 1,4-naphthylene, phenoxyphenyl-4,4'-diylene, phenoxyphenyl-3,4'-diylene, 2,5-pyridylene and 2,6-quinolylene which may or may not be substituted by one or more substituents which may comprise halogen, C<sub>1</sub>-C<sub>4</sub>-alkyl, phenyl, carboalkoxyl, C<sub>1</sub>-C<sub>4</sub>-alkoxyl, acyloxy, nitro, dialkylamino, thioalkyl, carboxyl and sulfonyl. The

-CONH-group may also be replaced by a carbonyl-hydrazide (-CONHNH-) group, azo-or azoxy-group.

Fibers derived from wholly aromatic polyamides are preferred. Examples of aramids are poly-m-phenylene-isophthalamide and poly-p-phenylene-terephthalamide.

Especially suitable are poly-m-phenyleneisophthalamide fibers according to US 3,287,324 and poly-p-phenyleneterephthalamide fibers according to US 3,869,429 and DE 22 19 703.

Additives can be used with the aramid and, in fact, it has been found that up to as much as 10 percent by weight of other polymeric material can be blended with the aramid or that copolymers can be used having as much as 10 percent by weight of other diamine substituted for the diamine of the aramid or as much as 10 percent by weight of other diacid chloride substituted for the diacid chloride of the aramid.

Additional suitable aromatic polyamides are of the following structure (-NH-Ar<sub>1</sub>-X-Ar<sub>2</sub>-NH-CO-Ar<sub>1</sub>-X-Ar<sub>2</sub>-CO-)<sub>n</sub>

in which

5

10

15

25

30

X represents O, S, SO<sub>2</sub>, NR, N<sub>2</sub>, CR<sub>2</sub>, CO,

R represents H, C<sub>1</sub>-C<sub>4</sub>-alkyl, and

Ar<sub>1</sub> and Ar<sub>2</sub> which may be same or different are selected from 1,2-phenylene, 1,3-phenylene and 1,4-phenylene and in which at least one hydrogen atom may be substituted with halogen and/or C<sub>1</sub>-C<sub>4</sub>-alkyl.

The finish formulation of this invention comprises a lubricant, an emulsifying system, an antistatic agent and a corrosion inhibitor, and if desired, water and/or other additives.

The lubricant is an esteroil which is characterized as stated above. Examples for the alcohol compound (I) of the ester can be 2-methyl-1-propanol, 2-butanol, 2-methyl-1-butanol, 3-methyl-3-1-butanol, 3-methyl-2-butanol, 2-methyl-1-pentanol, 4-methyl-1-pentanol, 4-methyl-1-pentanol, 3-

heptanol, 2-octanol, 2-ethyl-1-hexanol, 3,5-dimethyl-1-hexanol, 5-nonanol, 2-6-dimethyl-4-heptanol, iso-hexadecyl alcohol or iso-tridecyl alcohol. Examples for the carboxylic acid component (II) can be lauroleic acid, myristoleic acid, palmitoleic acid, oleic acid, gadoleic acid, erucic acid, ricinoleic acid, tallow acid, linoleic acid, linolenic acid, maleic acid, cinnamic acid, naphthaline carboxylic acid,

benzoic acid, terephthalic acid, isophthalic acid, trimellitic acid or pyromellitic acid.

10

15

20

25

30

The kinematic viscosity of the esteroil preferably is in the range of 200 to 300  $\text{mm}^2/\text{s}$  (20°C).

The emulsifying system of this invention is as defined above. Examples of unsaturated fatty acids are lauroleic acid, myristoleic acid, palmitoleic acid, gadoleic acid, erucic acid or ricinoleic acid, preferably oleic acid (with 3-15 moles ethylene oxide). Examples of unsaturated fatty alcohol are elaidyl alcohol, erucyl alcohol, brassidyl alcohol, preferably oleyl alcohol and/or tallow alcohol (with 3-10 moles of EO). Further examples are C8- or C9-alkylphenolethoxylates, preferably octylphenol-or nonylphenolethoxylates (5-5 moles of EO).

The antistatic compounds are alkali salts, preferably sodium salts of alkyl sulfonates (e.g. lauryl or oleyl sulfonate), alkyl phosphates like C<sub>4</sub>-C<sub>12</sub>-alkyl phosphates (mono/diester mixture) and salts of fatty acids, e.g. oleic acid. The sodium chloride content should be below 0.1%. It is also possible to use alkylsulfates, however, they are not preferred because they hydrolyze easily and therefore loose their antistatic efficiency.

Useful corrosion inhibitors are diethanolamine salts of C<sub>4</sub>-C<sub>12</sub>-alkylphosphate-esters (mono/di) or amine salts of fatty acids or benzoic acid.

The formulation may optionally contain water for stabilization reasons even before it is diluted with water in order to obtain the concentration at which it is applied to the fibers.

Additives can optionally be incorporated in the formulation if specific properties or process conditions are required, for example adhesion, specific cross-linkage, UV-protection, antioxidation, pigmentation or rheological adjustment. These additives may further comprise fungicides, bacteriocides and biocides.

A formulation for the treatment of aramid fibers can be prepared by mixing all components at an elevated temperature, preferably at a temperature between 30° and 40°C, in order to obtain a homogeneous and clear oil. Thus, for example, a mixture consisting of 550 g of isobutyl oleate, 350 g of emulsifying system which consists of 200 g nonylphenol ethoxylate (8 EO) and 150 g of oleic acid ethoxylate (10 EO), 70 g of sodium decylsulfonate and 5 g of the diethanolamine salt of benzoic acid can be prepared. If necessary, about 25 g of water is added to the mixture to eliminate any turbidity. The addition of water may also be necessary to obtain a stable clear oil. If required, the pH value can be adjusted to be within 6 and 8, preferably 7, using diethanolamine or acetic acid.

10

15

20

25

30

35

The finish formulation of this invention is further characterized by a viscosity of 150 to 500 mm<sup>2</sup>/s, preferably of 150 to 300 mm<sup>2</sup>/s (at 20°C), a weightloss of less than 25%, preferably less than 15%, after 2 h at 200°C, a surface tension of a 1% emulsion of less than 35 mN/m, preferably less than 32 mN/m at 20°C.

The invention further relates to a process for the production of a highly processable aromatic polyamide fiber coated on the surface with a surface treatment agent.

Coating of aramid fibers with the surface treatment agent of this invention can take place in various ways and, more specifically, for example, according to the following processes (a) and (b).

According to process (a), the application of the surface treatment agent is made on never-dried never-drawn aramid fiber; and according to process (b) the application of the surface treatment agent is done on previously dried aramid fiber, in each case using any known coating device. The finish formulation is used neat or in a diluted aqueous form, which is in a concentration of as low as 1% by weight.

In the preferred route for process (a), the finish formulation is applied in a concentration of about 30% by weight in water (this means 30 parts by weight finish formulation + 70 parts by weight water) on a wet aramid fiber. The emulsion treated fiber is then dried during the fiber stretching drying step at a temperature between 150 and 190°C, preferably at around 170°C for few seconds (5-10 s) while the yarn speed is around 630 m/min (workable range 120-1200 m/min).

In the preferred route of process (b) yarns and cords of aramid fibers are passed through a dip of the finish formulation in a dipping unit to coat them and then are dried in an air heated chamber at 80 to 190°C, preferably at 110 to 130°C with a predetermined tension of 6 N for an untwisted 1670 dtex yarn. The most preferred temperature for this step is about 120°C. Depending on the dip concentration for the finish formulation, which may be from 1% to 100% by weight in water, the speed is adjusted to be from 15 to 100 m/min. By a finish formulation of 100%, is meant that the finish is neat.

The finish levels for both processes, (a) and (b), are in the range of 0.05 to 2% by weight, preferably 0.2 to 1.0% by weight.

If desired, processes (a) and (b) can be conducted as a multi-step process in which the fiber may be several times immersed in a surface treatment agent and in turn dried. For example, the treatment agent can be applied on the

15

20

25

30

never-dried wet fiber, then the fiber can be dried and thereafter the surface treatment agent can be applied once more or even several times more with or without intermediate dring.

Fibers of this invention can be used in the reinforcement of hoses, belts, ropes and cables including optical cables, rubber goods and composite structures (e.g. sporting goods, medical supplies, building and acoustic material, transport and protective equipment for civil and military applications).

### Description of Preferred Embodiments

#### 10 Example 1

In this example, aramid fiber in a yarn of 1100 dtex and coated by the finish of this invention was compared with commercially-available aramid yarn of the same dtex coated by a standard finish.

The aramid fiber of this invention shows superiority, in terms of friction, especially dynamic friction F/M (200 m/min), deposit measured in mg/kg of yarn, and fibrillation compared to the control aramid fiber (Comparison) which is commercially available.

For antistatic evaluation, a generally good performance starts at -6 kV, consequently the measured value of -2.5 kV for the fiber of this invention is excellent in terms of staticity.

The scourability (wash-off property) is a very important factor since the residual finish level after a washing-step (measured in %) impacts any subsequent finishing operation. Scourability values mentioned in the Table below were obtained on an industrial scale using fabrics made of the yarn of this invention and compared with a control yarn which was a commercial product of the same denier treated with a standard finish. The values were confirmed in the laboratory by washing the yarns two times with soft water at 50°C using 100 ml of water for 10 g of yarn.

Friction coefficients were determined according to the following method: A package of yarn is threaded through a tensioning device, between a guide roll and two strain gauges, and onto a take-up roll driven by a variable speed motor. The two strain gauges record  $T_1$  and  $T_2$  input and output tension respectively. The coefficient of friction is computed according to the formula:

$$T_1/T_2 = \exp(\alpha \cdot f)$$

where  $\alpha$  is the friction angle and f the friction coefficient (fiber to fiber, fiber to metal or fiber to ceramic, depending on whether a polished chrome or ceramic pin was used). The Rothschild friction meter R-1182 has been used according to the standard procedure known in the art.

The deposit due to abrasion was measured on a "Staff-Tester G 555" (Zweigle, West Germany) with which the weight of the abraded fiber-material arising from fiber to fiber friction was determined.

The fibrillation index was determined on a "G 566" apparatus (Zweigle, West Germany).

10

5

#### Comparison of physical properties

			Com-	This
	(1100 dtex Fiber)		parison	Invention
	1. Friction			
15	Fiber/Fiber (	0.016 cm/s)	0.22	0.215
	(128  cm/s)		0.28	0.265
	Fiber/Metal	(0.016 cm/s)	0.12	0.045
	·	(128 cm/s)	0.30	0.265
		(200 m/min)	0.70	0.55
20	2. Deposit (mg/kg)		10	0.5
	3. Fibrillation index		21	2-5
	4. Scourability		46%	9%
	(Residual finish lev	rel)		

#### 25 Example 2

In this example, a fabric woven from the yarn of this invention and a comparison fabric woven from commercially available yarn having a standard finish were tested for ballistic performance.

The fabrics were made of 1111 dtex (1000 denier) yarns.

Usually in the area of high tenacity fiber the weaving operation of ballistic fabrics leads to strength losses usually quantified by extracting the yarn out of the fabric and measuring the tenacity according to the standard procedures known in the art. The following Table shows that the product of this invention yields a significant advantage since, in a heavy fabric construction (typically 12 ends per cm), the strength loss is reduced by half (7 vs. 14%). The ballistic performance

 $(V_{50}$ : see test procedure) is also improved by 8% at the greige fabric level and 5 to 8% at the finished level (meaning after final fabric treatment).

In the case of light weight fabric, typically 8 ends per cm, the ballistic performance is also increased by 4.5% at the greige fabric level.

### Strength Conversion and Ballistic Performance

10	Fabric Grade	Strength Loss, This Invention	Strength Loss, Com- parison Pe	Percentage improvement in Ballistic erformance V <sub>50</sub> of This Invention vs. Comparison
15 20	HEAVY FABRIC of the state of the art: 1. greige 2. ballistic perform. (greige fabric) 3. ballistic perform. (finished fabric)	5%-9%	14%-18%	+ 8% + 5-8%
25	LIGHT FABRIC of the state of the art  1. greige 2. ballistic perform. (greige fabric)	0-2%	0-2%	+ 5%

#### Ballistic tests

The ballistic test method for determining V<sub>50</sub> was carried out according to the NATO standardization agreement STANAG 2920.

The  $V_{50}$  ballistic limit velocity for a material or armour is defined as that velocity for which the probability of penetration of the chosen projectiles is exactly 0.5, using the Up and Down firing method and calculation described below.

30

15

20

25

The Up and Down firing method:

The first round shall be loaded with the amount of propellant calculated to give the projectile a velocity equivalent to the estimated  $V_{50}$  ballistic limit of the armour. If the first round fired produces a complete penetration, the second round shall be loaded with a fixed decrement of propellant calculated to produce a velocity about 30 m/s lower than the first. If the first round fired results in a partial penetration, the second round shall be loaded with a fixed increment of propellant calculated to produce a velocity about 30 m/s higher than the first round. Upon achieving the first set of penetration reversals, the propellant charge should be adjusted with the fixed amount to yield an increment or decrement of velocity of about 15 m/s. Firing will then continue in accordance with a given procedure to obtain an estimate of the  $V_{50}$  (BLP) [Ballistic Limit Protection].

#### V<sub>50</sub> calculation:

After a number of projectiles have been fired the  $V_{50}$  is calculated as the mean of the velocities recorded for the fair impact the fair impacts consisting of the three highest partial velocities for partial penetration and the three lowest velocities for complete penetration provided that all six velocities fall within a bracket of 40 m/s.

#### Example 3

In this example, knitting processability evaluation was carried out under the following conditions: ELHA Circular Knitting Machine (Model RRU), test duration 4 hours, machine speed 6 7 0 rpm, knitting speed 1 5 m/min; knitting construction 3 stitches /cm.

#### End-Use Performance of Different Yarn Types

5	Yarn Type	Com- parison 0 T/m	Com- parison 120 T/m	This Invention Process (a) and (b) 0 T/m
10	Fibril- lation	high	none	none
10	Knit Design	not uniform	uniform	uniform
15	Deposit	build-up, deposit	slight deposit	no deposit
	Coverage Factor	not uniform	low	optimum

As can be seen in the table, above, optimum productivity levels and maximum value in use could be obtained using yarns of this invention versus the Comparison yarns. The state of the art product is used twisted. The results clearly show the advantage related to the possibility of avoiding the twisting operation by using yarns of this invention.

#### Example 4

In this example, fatigue trials on hoses, made using yarn of this invention, were carried out to the Ford specification with pressures of I-3.5 bar at 0.5 Hz according to the most severe trapezoid waveform.

With Comparison yarn, 50,000 cycles to failure are generally obtained and are sufficient to pass the test. However, a result of 80,000 cycles has been obtained for five hose samples containing yarns of this invention. This shows a significant superiority of the yarns of this invention in terms of fatigue resistance.

20

25

30

10

15

20

25

30

#### PCT/US92/01891

#### Example 5

In this example, the strength efficiency conversion of cords made using yarns of this invention was compared with that of cords made using Comparison yarns.

Compared with commercially available aramid based construction, up to 30% better strength efficiency conversion was obtained by using yarn of this invention for cord construction. If a cord is made of several yarns, the strength of the cord theoretically should be equal to the strength of each yarn, multiplied by the number of yarns, which is never the case in practice. However, the finish of this invention helps to overcome this problem.

In a laboratory test, the strength of a parallel construction made of three commercial 1100 dtex (1000 filaments) aramid yarns with a final twisting of 140 T/m (twists per meter) was determined to be 524 N. This was compared with a parallel cord construction made of three 1100 dtex yarns which were treated with the finish of this invention (0.8% by weight finish level). The finally obtained strength of a yarn with a twist level of 140 T/m was 592 N which corresponds to a 30% increase.

#### Example 6

In this example, several qualities of yarn of this invention were tested and compared with those qualities as exhibited by Comparison yarn.

#### Test conditions:

Weight loss is measured by the percentage of finish material lost after exposing the fibers at 230°C for 8 hours. The finish percentage is determined by solvent extraction before and after the heat exposure.

The percentage of residue after scouring is also determined by solvent extraction of the residual finish remaining on the fiber after washing (scouring) the fiber according to washing procedures known and applied in the industry. The percentage is calculated versus the initial finish level determined prior to the scouring step.

The fiber to metal (F/M) friction coefficient is measured at 150 m/min using the Rothschild equipment and method as described previously.

20

25

	<u>Fiber</u>	Finish weight loss on heating	Finish remaining after scouring	F/M friction coefficient
5	A This invention ("non-fuming")	5	8	0.50
10	<u>B</u> This invention	11	14	0.47
10	<u>C</u> Comparison	23	45	0.65

All yarns were 1000 denier, 670 filament and were coated in the dried state using a neat finish formulation at a rate of 750 m/minute to a level of 0.8%.

The finish of A included a 70/30 mixture of benzene tricarboxylic acid and benzene dicarboxylic acid as the carboxylic acid component for the esteroil. The finish of B included a 70/30 mixture of C-18/C-16 alkenyl monocarboxylic acid component for the esteroil.

The finish of the Comparison was C-12/C-15 mineral oil-based as disclosed in Research Disclosure No. 195, disclosure 19520, July, 1980.

By this example, it is noted that finish formulations of this invention in which a carboxylic acid component for the esteroil is used having more than one carboxylic acid group, that is, where n=2-6, the finish formulation yields considerably less weight loss on heating. Less weight loss on heating means less furning in use and operation at elevated temperatures.

#### **CLAIMS**

- 1. Aramid fibers having a coating of a lubricant, an emulsifying system, an antistatic agent, and other components, derived from a surface treatment agent which consists of
- 5 (a) 30 to 70% by weight of an esteroil lubricant, consisting of an ester, composed of
  - (I) an alcohol component which is a branched, primary or secondary, saturated monohydric alcohol of the general formula

10

15

20

25

30

R1 R2

 $\text{CH}_3\text{-}(\text{CH}_2)_h\text{-}(\text{CH}_2)_j\text{-}\text{CH}\text{-}(\text{CH}_2)_m\text{-}\text{OH}$  wherein

R<sup>1</sup> represents C<sub>1</sub>-C<sub>16</sub>-alkyl,

 $R^2$  represents H,  $C_1$ - $C_{16}$ -alkyl, if k=1 and

 $R^2$  represents  $C_1$ - $C_{16}$ -alkyl, if k=0,

h = 0 to 5

i = 0 to 4

k = 0 or 1

m = 0 to 16

and wherein the total number of carbon atoms is below 25,

and

(II) a carboxylic acid component which is an unsaturated fatty acid of the general formula  $R^3$ -(COOH)<sub>s</sub>; s = 1-6

wherein

R<sup>3</sup> represents C<sub>4</sub>-C<sub>19</sub>-alkenyl, C<sub>4</sub>-C<sub>19</sub>-alkadienyl, C<sub>4</sub>-C<sub>19</sub>-alkatrienyl, phenyl, naphthyl, 2-phenylethenyl, or which is an unsaturated dicarboxylic acid of the general formula

 $HOOC-(CH=CH)_n-COOH$ wherein n = 1 or 2,

10

15

35

and said ester has a solidification point of below +5°C, a kinematic viscosity of 100 to 350 mm<sup>2</sup>/s (at 20°C) and an iodine value between 30 and 140,

(b) 20 to 50% by weight of an emulsifying system which consists of unsaturated ethoxylated fatty acids and/or unsaturated ethoxylated fatty alcohols and/or ethoxylated alkylamines of the general formula R<sup>4</sup>-X-(EO)<sub>D</sub>(PO)<sub>Q</sub>-OH

wherein

R<sup>4</sup> represents C<sub>5</sub>-C<sub>20</sub>-alkenyl, phenyl, naphthyl, or C<sub>8</sub>- or C<sub>9</sub>-alkylphenyl,

X represents -COO-, -NH- or -O-,

EO represents an ethylene oxide unit,

PO represents a propylene oxide unit,

 $p_{...} = 2 \text{ to } 15 \text{ and}$ 

q = 0 to 10,

- (c) 5 to 15% by weight of an antistatic agent, consisting of alkali salts of C<sub>4</sub>-C<sub>12</sub>-alkyl sulfonates, C<sub>4</sub>-C<sub>12</sub>-alkyl phosphates or C<sub>4</sub>-C<sub>20</sub>-alkyl carboxylic acids,
- (d) 0.2 to 2% by weight of a corrosion-inhibitor, and
- 20 (e) optionally additives, and whereby the amount of said coating on said fibers is 0.05 to 2.0% by weight.
- 2. Fibers according to Claim 1, characterized in that said alcohol component (I) of (a) is 2-methyl-1-propanol, 2-butanol, 2-pentanol, 2-methyl-1-butanol, 3-methyl-1-butanol, 3-methyl-2-butanol, 2-methyl-1-pentanol, 4-methyl-1-pentanol, 4-methyl-2-pentanol, 2-pentanol, 3-heptanol, 2-octanol, 2-ethyl-1-hexanol, 3,5-dimethyl-1-hexanol, 5-nonanol, 2-6-dimethyl-4-heptanol, iso-hexadecyl-alcohol or iso-tridecyl alcohol.
- 30 3. Fibers according to Claim 1, characterized in that said carboxylic acid component (II) of (a) is lauroleic acid, myristoleic acid, palmitoleic acid, oleic acid, gadoleic acid, erucic acid, ricinoleic acid, tallow acid, linoleic acid, linolenic acid, fumaric acid, maleic acid, cinnamic acid, naphthaline carboxylic acid, benzoic acid, terephthalic acid, isophthalic acid, trimellitic acid or pyromellitic acid.

- 4. Fibers according to Claim 1, characterized in that said emulsifying system comprises octylphenol-ethoxylates (5-15 moles of EO) and/or nonylphenolethoxylates (5-15 moles of EO) and/or ethoxylated lauroleic acid, myristoleic acid, palmitoleic acid, gadoleic acid, erucic acid, ricinoleic acid, or oleic acid (3-15 moles of EO) and/or ethoxylates of elaidyl alcohol, erucyl alcohol, brassidyl alcohol, or oleyl alcohol (3-10 moles of EO) and/or tallow alcohol ethoxylate (3-10 moles of EO).
- 5. Fibers according to Claim 1, characterized in that said surface treatment
  agent consists of
  50 to 60% by weight, of (a),
  25 to 40% by weight, of (b),
  5 to 10% by weight, of (c),
  0.3 to 1% by weight, of (d), and
  optionally additives (e).
  - 6. Fibers according to Claim 1, characterized in that repeating units of the aramid have the general formula

 $(-NH-A_1-NH-CO-A_2-CO-)_n$ 

20 wherein

A<sub>1</sub> and A<sub>2</sub> are the same or different and represent substituted or unsubstituted aromatic and/or polyaromatic and/or heteroaromatic rings.

- 7. Fibers according to Claim 6 characterized in that A<sub>1</sub> and A<sub>2</sub> are,
  independently from each other, selected from 1,4-phenylene, 1,3-phenylene, 1,2phenylene, 4,4'-biphenylene, 2,6-naphthylene, 1,5-naphthylene, 1,4-naphthylene,
  phenoxyphenyl-4,4'-diyl, phenoxyphenyl-3,4'-diyl, 2,5-pyridylene and 2,6-quinolylene
  and which may or may not be substituted by one or more substituents comprising
  halogen, C<sub>1</sub>-C<sub>4</sub>-alkyl, phenyl, carboalkoxyl, C<sub>1</sub>-C<sub>4</sub>-alkoxyl, acyloxy, nitro,
  dialkylamino, thioalkyl, carboxyl and sulfonyl and in which the amide-group may
  also be replaced by a carbonylhydrazide-, azo- or azoxy-group.
  - 8. Fibers according to Claim 6, characterized in that the aromatic polyamide is a copolyamide in which preferably at least 80% by mole of the total  $A_1$  and  $A_2$  are

15

20

25

30

1,4-phenylene and phenoxyphenyl-3,4'-diyl which may or may not be substituted, and the content of phenoxyphenyl-3,4'-diylene is 10% to 40% by mole.

- 9. Fibers according to Claim 6, characterized in that the polyamide fibers consist of poly-(m-phenylene-isophthalamide).
- 10. Fibers according to Claims 6, characterized in that the polyamide fibers consist of poly-p-(phenylene-terephthalamide).
- 10 11. Fibers according to Claims 6, characterized in that said polyamide fibers contain optionally units which are derived from 3- or 4-aminobenzoic acid.
  - 12. A process for making aramid fibers having a coating of a surface treatment agent, comprising the steps of applying the surface treatment agent to said fiber in an aqueous system of concentration 1 to 100%, by weight, drying the fibers at a temperature between 150 and 190°C, optionally, repeating the application of the surface treatment agent, characterized further in that the surface treatment agent consists of
  - (a) 30 to 70% by weight of an esteroil lubricant, consisting of an ester, composed of
    - (I) an alcohol component which is a branched, primary or secondary, saturated monohydric alcohol of the general formula

R<sup>1</sup> R<sup>2</sup>

| CH<sub>3</sub>-(CH<sub>2</sub>)<sub>h</sub>-(CH)<sub>k</sub>-(CH<sub>2</sub>)<sub>j</sub>-CH-(CH<sub>2</sub>)<sub>m</sub>-OH

wherein

R<sup>1</sup> represents C<sub>1</sub>-C<sub>16</sub>-alkyl,

R<sup>2</sup> represents H, C<sub>1</sub>-C<sub>16</sub>-alkyl, if k=1 and

R<sup>2</sup> represents C<sub>1</sub>-C<sub>16</sub>-alkyl, if k=0,

h = 0 to 5

j = 0 to 4

k = 0 or 1

m = 0 to 16

10

20

25

## and wherein the total number of carbon atoms is below 25,

and

(II) a carboxylic acid component which is an unsaturated fatty acid of the general formula

 $R^3$ -(COOH)<sub>s</sub>; s = 1-6

wherein

R<sup>3</sup> represents C<sub>4</sub>-C<sub>19</sub>-alkenyl, C<sub>4</sub>-C<sub>19</sub>-alkadienyl, C<sub>4</sub>-C<sub>19</sub>-alkatrienyl, phenyl, naphthyl, 2-phenylethenyl, or which is an unsaturated dicarboxylic acid of the general formula

 $HOOC-(CH=CH)_n-COOH$ 

wherein n = 1 or 2.

and said ester has a solidification point of below +5°C, a kinematic viscosity of 100 to 350 mm<sup>2</sup>/s (at 20°C) and an iodine value between 30 and 140,

(b) 20 to 50% by weight of an emulsifying system which consists of unsaturated ethoxylated fatty acids and/or unsaturated ethoxylated fatty alcohols and/or ethoxylated alkylamines of the general formula

 $R^4$ -X-(EO)<sub>p</sub>(PO)<sub>q</sub>-OH

wherein

R<sup>4</sup> represents C<sub>5</sub>-C<sub>20</sub>-alkenyl, phenyl, naphthyl, or C<sub>8</sub>- or C<sub>9</sub>-alkylphenyl,

X represents -COO-, -NH- or -O-,

EO represents an ethylene oxide unit,

PO represents a propylene oxide unit,

p = 2 to 15 and

q = 0 to 10,

- (c) 5 to 15% by weight of an antistatic agent, consisting of alkali salts of C<sub>4</sub>-C<sub>12</sub>-30 alkyl sulfonates, C<sub>4</sub>-C<sub>12</sub>-alkyl phosphates or C<sub>4</sub>-C<sub>20</sub>-alkyl carboxylic acids,
  - (d) 0.2 to 2% by weight of a corrosion-inhibitor, and
  - (e) optionally additives
- 13. Process according to Claim 12, characterized in that the concentration of the surface treatment agent in water is 30% by weight.

- 14. Process according to Claim 12, characterized in that the concentration of the surface treatment is 100%, by weight, that is, that the surface treatment is neat.
- 5 15. Process according to Claim 12, characterized in that the surface treatment agent is applied to never-dried aramid fibers.
  - 16. Process according to Claim 12, characterized in that the surface treatment agent is applied to previously-dried aramid fibers.

...

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 92/01891 -

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all)*									
According to International Patent Classification (IPC) or to both National Classification and IPC Int.Cl.5 D 06 M 13/224 D 06 M 13/17 D 06 M 13/372									
II. FIELDS SEAL	RCHED								·
			Minimus	Document			,		
Classification Sy	rstem			- Cla	ussifica	tion Symbols			
Int.C1.5		C 10 !	M	D	06 N	1			
		Docus to the Exte	mentation Searci ent that such Do	hed other the cuments are	in Min	imum Documented in the Fields	s Searched	18	
	TS CONSIDERE	D TO BE RELE	VANT?		of th	a relevant nacca	Dec 12		Relevant to Claim No.13
Category °	Citation of De	ocument, 11 with	indication, where	- appropriate	., or 10	- teravent herry	-		
A	DE,A,1 see th	815361 ( e whole d	HOECHST) ocument	2 July	19	70,			1
A	US,A,4 April	019990 ( 1977, see	MARSHALL the who	et al. le docu	) 2 Imen	6 t			1
A	US,A,3177143 (LENSE) 6 April 1965, see the whole document						1		
A	US,A,3 Februa	JS,A,3368917 (BELCHER et al.) 13 February 1968, see the whole document						1	
A	US,A,3682694 (YASUHARU KATO) 8 August 1972, see the whole document						1		
									·
o Special categories of cited documents: 10  "A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filling date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filling date but later than the priority date claimed  "T" inter document published after the international or priority date and not in conflict with the cited to understand the principle or theory invention  "X" document of particular relevance; the claim cannot be considered novel or cannot be cannot be considered to involve an invention of cannot be considered to involve an invention of the same patent family document is combined with one or more or ments, such combination being obvious to in the art.  "A" document member of the same patent family date that the priority date and not in conflict with the cited to understand the principle or theory invention.  "X" document of particular relevance; the claim cannot be considered to involve an invention of the considered to involve an invention of the cannot be considered to involve an invention of the considered to involve an						the application but ory underlying the aimed invention e considered to laimed invention ntive step when the e other such docu- to a person skilled			
IV. CERTIFIC							-4 sk!- 1-		week Renest
Date of the Act	ual Completion of 19-06-	the International	Search			16. 07		ternational Se	вагса Керогт
International Se	EUROP	EAN PATENT	OFFICE			Maria Pois		Mane	Pes .

## ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

US 9201891

SA 58217

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 08/07/92. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Paten mem	Publication date	
DE-A- 1815361	02-07-70	BE-A- CH-A- FR-A- GB-A- NL-A-	743360 1858269 2026463 1233330 6918522	18-06-70 18-09-70 26-05-71 22-06-70
US-A- 4019990	26-04-77	None		
US-A- 3177143		BE-A- DE-B- DE-A- FR-A- GB-A- GB-A- NL-A- US-A- US-A-	591186 1168860 1419430 1258042 1258564 878846 920659 251989 251990 3010849 3061474	24-10-68
US-A- 3368917		None		
US-A- 3682694	08-08-72	None		

o For more details about this samex : see Official Journal of the European Patent Office, No. 12/82

O FORM P0479

Same Salaration, See

714

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS	
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES	
☐ FADED TEXT OR DRAWING	
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING	
☐ SKEWED/SLANTED IMAGES	
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS	
☐ GRAY SCALE DOCUMENTS	
☐ LINES OR MARKS ON ORIGINAL DOCUMENT	
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY	
□ OTHER:	

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.